



Big Bull

TASIA SECURITIES LIMITED

TREC Holder of Dhaka Stock Exchange Limited

DSE TREC # 277

CUSTOMER ACCOUNT OPENING FORM

Name of Account Holder :

Customer Account No :

BO Account No.

1

2

0

Mailing Address :

Name of Joint Account Holder :

Phone No : E-mail :

Mobile No : Fax No:

“শেয়ার বাজারে বিনিয়োগ ঝুঁকিপূর্ণ, জেনে ও বুঝে বিনিয়োগ করুন।”

CUSTOMER ACCOUNT OPENING INFORMATION FORM

Date :

D	D	M	M	Y	Y	Y	Y
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[FILL UP THE FORM IN CAPITAL LETTERS]

Account Type : Cash Margin

Client Code :

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First Account Holder

Name of the Cuostmer :

Father's/Husband's/Name:

Mother's Name :

Date of Birth :

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 Sex: Male Female Nationality :

Present Address :

.....Mobile :Tel # :

Permanent Address :

.....Tel # : E-mail ID :

Occupation :E-Tin # :

Joint Account Holder

Name of the Customer :

Father's/Husband's/CEO's (In Case of Firm or Company) Name:

Mother's Name :

Date of Birth :

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 Sex: Male Female Nationality :

Present Address :

.....Mobile :

Permanent Address :

..... E-mail ID :

Occupation :E-Tin # :

Signed Photograph of
Joint Account Holder

Name of the Institution/Organization :

Address :

.....

Phone :Fax :

Email:.....

Name of MD/CEO :

Organization Status :

Registration Number :Reg Date :

TIN Number :

Incorporation No:Incorporation Date:

Whether the company is listed in any Stock Exchange? Yes No

If yes, Name the Stock Exchange :

Trade License No:..... Trade License Date:.....

Contact Person Name :

7. Account Link Request

Would you like to create a link to your existing Depository Account ? Yes No

If yes, then please provide the Depository BO Account Code (8 Digits) :

8. Nominees/ Heirs

If account holder(s) wish to nominate person (s) who will be entitled to receive securities outstanding in the account in the event of the death of the sole account holder / all the joint account holders, a separate nomination Form - 23 must filled up and signed by all account holders and the nominees giving names of nominees, relationship with first account holder, percentage distribution and contact details, If any nominee is a minor, guardian's name, address, relationship with nominee has also to be provided.

9. Power of Attorney (POA)

If account holder(s) wish to give a Power of Attorney (POA) to someone to operate the account, a separate Form - 20 must be filled up and signed by all account holders giving the name, contact details etc. of the POA holder and a POA document lodged with the from.

10. To be filled in by the Stock Broker / Stock Exchange in case the application is for opening a Clearing Account

Exchange Name DSE Trading ID..... CSE Trading ID.....

11. Photograph

Please paste recent passport size photograph of 1st Applicant or Authorized Signatory in case of Limited Co. Only

1st Applicant or Authorized Signatory in case of Ltd Co.

Please paste recent passport size Photograph of 2nd Applicant or Authorized Signatory in case of Limited Co. Only

2nd Applicant or Authorized Signatory in case of Ltd Co.

Please paste recent passport size Photograph of 2nd Applicant or Authorized Signatory in case of Limited Co. Only

Authorized Signatory in case of Ltd Co. Only

12. Standing Instructions

I/We authorize you to receive facsimile (fax) transfer instructions for delivery Yes No

13. DECLARATION

The rules and regulations of the Depository CDBL Participant pertaining to an account which are in force now have been read by me/us and I/we have understood the same and i/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/We also declare that the particulars given by me/us are true to the best of my/our knowledge as on the date of making such application. I/We further agree the any false/misleading information given by me/us or suppression of any material fact will render my/our account liable for termination and further action.

Applicant	Full Name	Signature with Date
First Applicant		
Second Applicant		
Third Applicant (Ltd. Co. Only)		

14. Special Instructions on operation of Joint Account

Either or Survivor Any One Can Operate Any Two Operate jointly

Account will be operated by With any one the others.

15. Introduction

Introduction by an existing account holder of..... **TASIA SECURITIES LIMITED**

I confirm the identity, occupation and address of the applicant(s)

Introducer's Name

BO ID

.....
(Signature of Introducer)

Depository Bangladesh (CDBL)
Depository Account (BO Account) opened with CDBL Participant
Terms & Conditions-Bye Laws 7.3.3(c)
Corporate Member: Dhaka Stock Exchange Limited
BSEC Reg No: 3.1/ DSE-277/2021/559

CDBL Participant, Dhaka / Chittagong / Sylhet, Bangladesh

Dear Sir,

Please open a Depository account (BO Account) in my/our names(s) on the terms and conditions set out below. In consideration of **TASIA SECURITIES LIMITED** (the "CDBL Participant") opening the account providing depository account facilities to me/us, I/we have signed the BO Account Opening Form as a token of acceptance of the terms and conditions set out below.

1. I/we agree to be bound by The Depositories Act, 1999, Depositories Regulations, 2000, The Depository (User) Regulations 2003, and abide by the Bye Laws and Operating Instructions issued from time to time by CDBL

2. CDBL shall allocate a unique identification number to me/us (Account Holder BO ID) for the CDBL Participant to maintain a separate Account for me/us, unless the I/we instructs the CDBL Participant to keep the securities in an Omnibus Account of the CDBL Participant. The CDBL Participant shall however ensure that my/our securities shall not be mixed with the CDBL Participant's own securities.

3. I/we agree to pay such fees, charges and deposits to the CDBL Participant, as may be mutually agreed upon, for the purpose of opening and maintaining my/our account, for carrying out the instructions and for rendering such other services as are incidental or consequential to my/our holding securities in and transacting through the said depository account with the CDBL Participant.

4. I/we shall be responsible for:

- (a) The veracity of all statements and particulars set out in the account opening form, supporting or accompanying documents;
- (b) The authenticity and genuineness of all certificates and/or documents submitted to the CDBL Participant along with or in support of the account opening form or subsequently for dematerialization;
- (c) Title to the securities submitted to the CDBL Participant from time to time for dematerialization;
- (d) Ensuring at all times that the securities to the credit of my/our account are sufficient to meet the instructions issued to the CDBL Participant for effecting any transaction / transfer;
- (e) Informing the CDBL Participant at the earliest of any changes in my/our account particulars such as address, bank details, status, authorizations, mandates, nomination, signature, etc.;
- (f) Furnishing accurate identification details whilst subscribing to any issue of securities.

5. I/we shall notify the CDBL Participant of any change in the particulars set out in the application form submitted to the CDBL Participant at the time of opening the account or furnished to the CDBL Participant from time to time at the earliest. The CDBL Participant shall not be liable or responsible for any loss that may be caused to me/us by reason of my/our failure to intimate such change to the CDBL Participant at the earliest.

6. Where I/we have executed a BO Account Nomination Form

- a) In the event of my/our death, the nominee shall receive/draw the securities held in my/our account
- b) In the event, the nominee so authorised remains a minor at the time of my/our death, the legal guardian is authorised to receive/draw the securities held in my/our account.
- c) The nominee so authorised, shall be entitled to all my/our account to the exclusion of all other persons i.e., my/our heirs, executors and administrators and all other persons claiming through or under me/us and delivery of securities to the nominee in pursuance of this authority shall be binding on all other persons.

7. I/we may at any time call upon the CDBL Participant to close my/our account with the CDBL Participant provided no instructions remain pending or unexecuted and no fees or charges remain payable by me/us to the CDBL Participant. In such event I/we may close my/our account by executing the Account Closing Form if no balances are standing to my/our credit in the account. In case any balances of securities exist in the account the account may be closed by me/us in one of the following ways:

- (a) By rematerialization of all existing balances in my/our account:
- (b) By transfer of all existing balances in my/our account to one or more of my/our other account(s) held with any other CDBL Participant(s);
- (c) By rematerialization of a part of the existing balances in my/our account and by transferring the rest to one or more of my four other account(s) with any Other CDBL Participant(s);

8. CDBL Participant covenants that it shall

- a) act only on the instructions or mandate of the Account Holder or that of such person(s) as may have been duly authorized by the Account Holder in that behalf.
- b) not effect any debit or credit to and from the account of the Account Holder without appropriate instructions from the Account Holder.
- c) maintain adequate audit trail of the execution of the instructions of the Account Holder.
- d) not honour or act upon any instructions for effecting any debit to the account of the Account Holder in respect of any securities unless:
 - (i) Such instructions are issued by the Account Holder under his signature or that of his/its constituted attorney duly authorized in that behalf;
 - (ii) The CDBL Participant is satisfied that the signature of the Account Holder under which instructions are issued matches with the specimen of the Account Holder or his/its constituted attorney available on the records of the CDBL Participant;
 - (iii) The balance of clear securities available in the Account Holder's account are sufficient to honour the Account Holder's instructions.
- e) furnish to the Account Holder a statement of account at the end of every month if there has been even a single entry or transaction during that month, and in any event once at the end of each financial year. The CDBL Participant shall furnish such statements at such shorter periods as may be required by the Account Holder on payment of such charges by the Account Holder as may be specified by the CDBL Participant. The Account Holder shall scrutinize every statement of account received from the CDBL Participant for the accuracy and veracity thereof and shall promptly bring to the notice of the CDBL Participant any mistakes, inaccuracies or discrepancies in such statements.
- f) promptly attend to all grievances / complaints of the Account Holder and shall resolve all such grievances / complaints as it relate to matters exclusively within the domain of the CDBL Participant within one month of the same being brought to the notice of the CDBL Participant and shall forthwith forward to and follow up with CDBL all other grievances/ complaints of the Account Holder on the same being brought to the notice of the CDBL Participant and shall endeavour to resolve the same at the earliest.

9. The CDBL Participant shall be entitled to terminate the account relationship in the event of the Account Holder:

- (a) Failing to pay the fees or charges as may be mutually agreed upon within a period of one month from the date of demand made in that behalf;
- (b) Submitting for dematerialization any certificates or other documents of title which are forged, fabricated, counterfeit or stolen or have been obtained by forgery or the transfer whereof is restrained or prohibited by any direction, order or decree of any court or the Bangladesh Securities and Exchange Commission;
- (c) Commits or participates in any fraud or other act of moral turpitude in his / its dealings with the CDBL Participant;
- (d) Otherwise misconducts himself in any manner.

10. Declaration and Signature

I/we hereby acknowledge that I/we have read and understood the aforesaid terms and conditions for operating Depository Account (BO Account) with CDBL Participant and agree to comply with them.

Applicants	Name of applicants/ Authorized Signatories in case of Ltd Co.	Signature With date
First Applicant		
Second Applicant		
3rd Signator (Ltd Co. only)		

4. Photograph of Power of Attorney Holder

Please paste recent
passport size Photograph

(POA Holder)

5. DECLARATION

The rules and regulations of the Depository and CDBL Participant pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/We also declare that the particulars given by me/us are true to the best of my/our knowledge as on the date of making such application. I/We further agree that any false/misleading information given by me/us or suppression of any material fact will render my/our account liable for termination and further action.

Applicants	Name of applicants/Authorized signatories in case of Ltd Co.	Signature with data
POA Holder		
First Applicant		
Second Applicant		
3rd Signatory (Ltd Co. only)		

DEED OF AGREEMENT FOR CREDIT FACILITY UNDER BSEC MARGIN RULES, 1999.

This deed of agreement is made and executed at Dhaka on.....

Between

Tasia Securities Limited a private limited company registered under the Companies Act, 1994 and carrying on Brokerage business in the name and style "**Tasia Securities Limited**", DSE TREC # 277 at 36 Delta Dahlia Tower, 2nd Floor ,Kamal Ataturk Avenue, Banani, Road # 17,Block-C, Dhaka-1000, Bangladesh, Bangladesh.(hereinafter referred to as the 'Brocke') of the ONE PART)

AND

Mr./Ms.....Son/Daughter/Husband/Wife of..... of
(hereinafter referred as individual/limited company/public limited company (client) who is an investor/seller/buyer of securities) of the OTHER PART.

WHEREAS, the client approved by the broker having Customer Code No corresponding to Beneficial Owner (BO)

Account No

1	2	0																	
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AND WHEREAS, the client being intended to avail credit facility and interested to deal in approved securities with the broker has furnished duly filled -in- application in the specified for requesting to approve credit facility against his client account

NOW THEREFORE, pursuant to the provision of the Margin Rules, 1999 and in consideration of the broker, having agreed to allow credit facility in the client's account and render service to the client, both the parties hereto do hereby agree and covenant with each other as following:

TERMS AND CONDITIONS

01. This agreement must be in line with the "Margin Rules, 1999" & as ammended from time to time by the regulator and as per "Margin Policy & Procedure of the broker. This agreement shall be binding on the parties hereto their successors, heirs, executors, administrators, assigns or legal representatives.
02. All the definitions in this agreement are same as stated in the "Margin Rules, 1999" and "Margin Policy & Procedure of the "Broker".
03. All other terms, conditions, etc which are not mentioned and defined herein but mentioned and defined in the "Margin Rules, 1999" as well as "Margin Policy & Procedure of the "Broker" shall be applicable in the appropriate manner.
04. This will strictly be a Credit Facility and valid up to.....
05. The "Client shall authorize the "Broker" to mortgage, pledge or hypothecate the client's securities or property for a sum not exceeding the "Debit Balance in the "Margin Account" and without obligation to retain in his possession or control securities of like character.
06. The "Broker will have absolute discretion to accept or decline any security, against which credit facility is granted and shall reserve the right to make changes to their list of "Approved Securities at any given moment.
07. The "Client" shall be entitled to credit facility up-to 2 (two) times of clients' margin/clients' deposit (i.e. credit facility shall be on maximum 1:2 basis) or maximum limit of credit facility prescribed by the regulator from time to time and approved by the "Broker". Using the credit facility, the "Client" may purchase quoted approved securities through the "Broker" into the "Margin Account". The "Client" shall at all times be responsible to maintain strictly the maximum limit of the "Debit Balance" at any given time.
08. If equity in a client's margin account falls below 150% of the "Debit Balance", the "Broker" shall request the "Client in written or over telephone to provide additional margin to bring the equity to not less than 150% of the "Debit Balance" within three days from the date of notice.
09. If the equity in a client's margin account falls in any way below 125% of the "Debit Balance", the "Broker has absolute discretion and without notice to the "Client" to liquidate the "Margin Account" including the marginable securities deposited to bring the equity to not less than 150% of the "Debit Balance". 10. The "Broker shall have the discretion to vary the margin requirements stipulated in the clause 7, 8 & 9 of this Agreement as per directive/notification/order/circular issued by the regulator or the "Broker" from time to time under the "Margin Rule, 1999 and the "Margin Policy & Procedure of the "Broker".
11. If the client exceeds the value of the "Debit Balance" above the stipulated limit and /or violates any other terms and conditions/rules/requirements as laid down by the "Broker" or any regulatory authority from time to time, the "Broker" shall have absolute discretion at the "Client's" risk to sell/buy any or all shares or securities of any company whatsoever in the client's "Margin Account without any consent of any form from the "Client" in order to adjust the "Debit Balance" of the "Margin Account"

In the event the "Portfolio Value" of the "Margin Account" becomes inadequate to regularize the "Debit Balance", the "Client" shall be under obligation to make cash payment to settle any shortfall.

12. In the case of "Broker" omitting particular security/securities from the list of "Approved Securities the "Client" shall accordingly adjust the "Portfolio Value or the "Debit Balance within 4(four) market days.

13. The "Client may be allowed to transfer shares to link BO account with other DP or withdrawal fund from his account provided that the client has no "Debit Balance" in the account or not exceed the allowed margin limit/ratio permitted by the "Broker" under the "Margin Rules, 1999".

14. The "Client" shall pay brokerage commission for all transactions i.e. buy and sell, in the "Margin Account". The "Client" shall also pay brokerage commission for all transactions carried out in order to regularize the "Margin Account". Rate of brokerage will be fixed by the "Broker" and can change from time to time.

15. The "Broker" shall have the authority to give notice to the client to adjust the "Debit Balance" in "Margin Account within 30(thirty) trading days for whatever reason. If the "Client" doesn't respond to such notice, the "Broker" shall have absolute discretion at the "Client's" risk to sell/buy any or all shares or securities in any company whatsoever in the client's "Margin Account without any consent of any form from the "Client" in order to adjust the "Debit Balance of the "Margin Account."

16. The "Client" shall pay margin account processing fee, margin account renewal fee, other fee, etc. as fixed by the "Broker" from time to time.

17. The "Broker will charge% P.A. interest (or any change from time to time) on the client's "Margin Account for any debit amount, calculated on a daily basis and realized from client's "Margin Account" quarterly. The "Broker reserves the right to increase/decrease the interest rate.

18. The "Margin Account will be fully operated (all buy/sell decisions) by the "Client" at all times other than in the instance of the "Broker" regularizing the account pursuant to clause 3 (5) (6) (7) of Margin Rules, 1999.

19. The "Broker" shall have the right to change, rectify, include any terms and conditions in addition to the above at any time with due notice to the Client.

20. The "Client" hereby warrants and represents that:

- a. he/she/it has the requisite authority to enter into this Agreement and consummate the transactions contemplated by this Agreement.
- b. he/she/it possesses all requisite and adequate licenses, permits, approvals, and insurances for the performance under this Agreement.
- c. The execution and performance of this Agreement shall not contravene any agreement, deed, instrument, statute, regulation, direction or rules which are applicable to it.

21. The "Client agrees to protect and Irrevocably Indemnify the "Broker" from any liability, claim, suits, loss, or damage that the "Broker" shall or may be caused, sustained, incurred, or suffered by reason in maintaining the client's "Margin Account" using the credit facility in any manner whatsoever arising from the breach of any provision of this Agreement or Rules, Regulations, Notifications, Directives, Orders & Circulars time to time impose by the regulator or as per "Margin Policy & Procedure" of the "Broker"

22. Any notice under this Agreement required to be given by either party shall be in writing (excluding clause 8 of this agreement) and service shall be effected by courier or facsimile or by first class post to the contact person of other party at the addresses provided herein, provided that any communication or document to be made or delivered to the Broker shall be effective only when received by the Broker at the address to which it is to be sent.

NOMINEE

In the event of the death of one of the joint account holder, the survivor: and on the death of sole account holder the nominee or successor shall be the beneficiary of the transactions. Unless and otherwise it is mentioned in case of joint account both the account holder will be treated as equal partner and incase of death and any other accident the account balance will be divided equally to the joint accountholders or their respective nominees.

GOVERNING LAW

All transactions shall be subject to the Rules and Regulations of the Bangladesh Securities and Exchange Commission, Dhaka Stock Exchange Ltd, Chittagong Stock Exchange Ltd. and other prevailing laws and regulations of Bangladesh and in particular the authority hereinafter granted by the client to the BROKER.

On behalf of Broker

Signature
Name:
Designation:

Signature of the client
Customer Code No.....

Witness:

Witness:

Signature :.....
Name :.....
Address :.....

Signature :.....
Name :.....
Address :.....

Article-1 General Terms

Terms and Condition

- 1-1 The client has absolute discretionary power to make investment decisions and may from time to time instruct the Authorised Representative (A/R) to make such investment(s) for and on behalf by the following means of communication:
- (a) Order Slip of **Tasia Securities Limited**
 - (b) Written instruction signed by the client
 - (c) Faxed instruction signed by the client
 - (d) Other evidence of order recorded through any electronic devices accepted by the **Tasia Securities Limited**
- 1-2 The means of Communication shall constitute evidence of orders made by the client to the AR, which when executed shall be conclusive and binding on the client.
- 1-3 All instruction and orders made by the client over telephone or any other electronic and electrical devices shall be logged by the AR in its system and such records shall be conclusive that the instructions have given by the client and the transactions so ordered or instructed shall be binding on the client.
- 1-4 Order by electronic transmission to the AR shall be made at the risk of the Client and the AR shall under no circumstance be held responsible for any loss due to non-transmission arising out of any electronic, electrical or mechanical fault
- 1-5 In case of telephonic and e-mail support, **Tasia Securities Limited** will only correspond to those number(s) and address that have been provided in the account opening form.
- 1-6 In case of telephonic order, Client/POA holder has to sign buy/sell order within 24 hours after the execution of his trade order
- 1-7 If this is a Joint Account, unless the account holders notify **Tasia Securities Limited** otherwise and provide such documentation, as **Tasia Securities Limited** may require, the brokerage customer account(s) shall be held by the account holders jointly with rights of survivorship (payable to either or survivor). Under these terms and conditions each joint account holder irrevocably appoints the other as attorney in fact to take all action on his or her behalf and to represent him or her in all respects in connection to this agreement. **Tasia Securities Limited** shall be fully protected in acting but shall not be required to act upon the instruction of either of the account holder, who shall be liable, jointly or severally, for any amounts due to **Tasia Securities Limited** pursuant to this agreement, whether incurred by either or both of the account holders. Unless and otherwise it is mentioned in case of joint account, both the account holder will be treated as equal partner and in case of death and any other accident the account balance will be divided equally to the joint account holders or their respective nominees, if any.
- 1-8 Jumbo and Market Lots: When an account holder wishes to sell stock, he/she must inform **Tasia Securities Limited** as to the format of the lot being sold, for example 5000 shares in 10's and 2000 in 5's (Jumbo lots are difficult to split with the company and so face a considerable discount to market price)
- 1-9 Margin Agreement has to be signed properly before availing Loan
- 1-10 The Account Holder must show his/her latest portfolio to AR before placing an order
- 1-11 The client shall be eligible to purchase only securities approved by the Management in his/her Margin Account.
- 1-12 The Management may, as its discretion, from time to time add new securities to or remove existing securities from the list of approved securities
- 1-13 The client cannot sell any instrument until the instrument is sellable/matured
- 1-14 The client shall only be allowed to buy a particular instrument if he/she has purchase power to buy that instrument in his/her Margin Account.
- 1-15 The client has to sign pay in slip and trade confirmation after execution of his/her given order.
- 1-16 The AR upon acceptance of an order shall undertake necessary steps to execute such orders of the client. If the Means of Communication is not satisfactory, the AR shall not be obliged to comply with such order but revert back to client for clarification.
- 1-17 If the value of an order of the client exceeds the purchasing power of the client, which will be determined by the available cash and Margin Loan in the account, the AR shall have the discretion either to reject the order or modify the order by reducing the order quantity and/or eliminating a specific order in his/her Margin Account.
- 1-18 The sole responsibility for making investment decision shall be on the client and the AR will not make any discretionary investment decision for or on behalf of- the client.
- 1-19 The AR shall under no circumstance be responsible or liable for any result whether adverse or otherwise of the investment decisions of the Client.
- 1-20 The client acknowledges that the AR neither guarantees any rate of return nor profit from any investment to the client nor shall be responsible for any losses caused to the client due to fluctuations of the capital market.
- 1-21 The client may from time to time appoint or remove any person as Authorized Person/Power of Attorney holder to act on behalf of the client.

- 1-22 Such Authorized Person shall be able to do all acts and give instructions or orders for all transactions under this Agreement, including operating the account(s) of the client and shall be acting for and on behalf of the client or described in the power of attorney declaration by the client until he/she removed in the manner stipulated hereunder.
- 1-23 The AR may act on the instructions of an Authorized Person until it actually receives written notice from the client of the removal of such Authorized Person and may in any event act on any instructions issued by the Authorized Person before the date of receipt of the written notice of such removal.
- 1-24 The client shall bear the full responsibility of the acts or omission of its authorized person. The AR shall not be held liable for any irregularities or unauthorized acts committed by the Authorized Person including and not limited to, unauthorized placing of securities purchase/sale orders or withdrawal of fund/securities.
- 1-25 In case the client is a limited company, institution, association, trust or a firm, the signatories to this Agreement shall provide full corporate documents, including board approvals and shareholders' approval (if any) supporting the appointment of the Authorized Person prior to the agreement.
- 1-26 The client shall solely responsible for notifying the Bangladesh Securities and Exchange Commission and other concerned authorities in the case of acquiring substantial shares of a company and/or trading shares of companies in which the client holds Directorship or in other case where it is obligatory to notify the regulatory authorities.

Article-2 Fees Charges and Interest

- 2-1 The account holder will pay a brokerage commission of _____ (in words _____) and any other related expenses and charges that may from time to time be applicable. Every transaction concluded through and recognized by the DSE or the CSE is subject to transaction levies or other fees imposed by the DSE & CSE. The account holder understands that the rate of commission may be changed from time to time.
- 2-2 The account holder will pay an interest at the rate of _____ in his/her margin account. The account holder understands that the rate of commission may be changed from time to time.

Article - Nominee

- 2-1 The account holder will pay a brokerage commission of _____ (in words _____) and any other related expenses and charges that may from time to time be applicable. Every transaction concluded through and recognized by the DSE or the CSE is subject to transaction levies or other fees imposed by the DSE & CSE. The account holder understands that the rate of commission may be changed from time to time.
- 2-2 The account holder will pay an interest at the rate of _____ in his/her margin account. The account holder understands that the rate of commission may be changed from time to time.

Article - Nominee

- 3-1 In the event of death of the Client, the nominee(s) as prescribed by the Client in the application form shall be the only person(s) recognized by the Management as being entitled to the asset of the portfolio.
- 3-2 In case nominee(s) are not mentioned, the Management shall not be obliged to deal with any person or persons except any right, title or interest of any person unless such person is holding a valid succession certificate, probate or letter of administration covering the investment from a court of competent jurisdiction and subject to such further documents or evidence that the Management may require.

Article - 4 Notice

- 4-1 Any notice provided for in this Agreement shall be in writing and shall be first transmitted by facsimile transmission, and then confirmed by registered mail or courier service, in the manner as elected by the party giving such notice to the addresses provided in account opening form.
- 4-2 All notices shall be deemed to have been validity given on (i) the Business Day when the transmission was made, if transmitted by facsimile transmission, or (ii) the expiry of 3 (three) Business Day after posting if transmitted by registered mail or (iii) the Business Day of receipt, if transmitted by courier
- 4-3 Any party may, from time to time, change its address or representative for receipt of notices provided for in this Agreement by giving to the other party, not less than 10 (ten) days prior written notice.
- 4-4 Client has to strictly follow the laws, rules and regulation of all the regulatory bodies
- 4-5 In case of deposit through cheque, the client cannot trade until his/her deposited cheque is matured.
- 4-6 In case of cash deposit, the client can deposit a maximum amount of Taka 05 (five) Lac cash to the respective bank referred by the **Tasia Securities Limited**. in any given day.
- 4-7 In case of money withdrawal, Client has to submit a money withdrawal requisition slip to the Accounts Department of **Tasia Securities Limited**. **Tsc** shall only issue A/C Cheque in the name of Account Holder. No cash/cash cheque/fund transfer shall be allowed.
- 4-8 In case of issuance of Cheque to AP/POA Holder's name, client has to submit a Registered POA Agreement from 1st Class Magistrate. In this case, **Tasia Securities Ltd.** decision will be full and final.
- 4-9 If any change(s) regarding account information, client must place a written request to the CEO/Managing Director of **Tasia Securities Limited**.
- 4-10 Client must check and verify all documents he/she receives from **Tasia Securities Limited** and make sure that those documents are duly signed by Authorized Person of the company. Once satisfied he/she should sign in those documents. If any documents is not duly signed by the Authorized Signature of any employee then client cannot make any complain against that particular document.

Article-5 Termination of Agreement

5-1 This agreement shall continue in force until terminated by either party giving to the other not less than 30 (Thirty) Business Days' notice in writing provided that either party may upon serving written notice to terminate this Agreement in occurrence of any one of the following events:

- (a) The other party is in breach of material terms of this Agreement, which shall not be remedied within 15 (Fifteen) days after serving of written notice requiring the breach to be remedied.
- (b) The other party shall go into bankruptcy or liquidation, a resolution is passed for its winding up or a receiver or similar officer is appointed over any assets of the party.
- (c) Occurrence of any other matter that causes permanent disability for the Manager to carry out portfolio management functions.

5-2 The company reserves the right and sole discretion to liquidate the Portfolio of the Client in whole or in part without notice to the Client when total investment of the account holder falls below 125% of the debit balance in the margin account to bring the equity to not less than 150% of the debit balance.

Article - 6 Governing law and jurisdiction

6-1 This agreement shall be governed by the law of Bangladesh.

Article - 7 Miscellaneous

7-1 **Tasia Securities Limited** shall be fully authorized to modify or vary the terms and conditions contained herein for compliance with any prevailing or change of relevant laws relating to matters herein.

7-2 The Schedule(s) and any rider(s) attached hereto including the Account Opening Form, together with this Agreement shall constitute the entire Agreement between the parties hereto and supplement this Agreement.

7-3 This Agreement is or shall be in compliance with the Bangladesh Securities and Exchange Commission (BSEC) laws and such rules, regulations, notices or circular issued by the Bangladesh Securities and Exchange Commission from time to time.

I/we have read the terms and conditions contained herein above, and having agreed with such terms and conditions.

I/we put my/our/company seal and signature on this the.....day of.....20

Applicant	Full Name	Signature with Date
First Applicant		
Second Applicant		
Third Applicant (Ltd. Co. Only)		

Particulars of Authorized Person, if any

Full Name:		Recent Photograph of Authorised Person
Father's/Husband's Name:		
Address:		
Phone:		
Mobile:		
Email:		Signature of Authorised Person

Nominee Details

	Nominee 1	Nominee 2
Name and Address		
Father's/Husband's Name		
Date of Birth		
National ID No.		
Relation		
Percentage %		
Signature with date	✓✓	✓✓

Photograph of
Nominee(s)

If nominee is minor, Name, address and relationship of local guardian :

Whether the customer is an Officer or Director of any Stock Exchange/Listed Company? Yes No

If yes, Name & Address of the Stock Exchange/Listed Company

Name & Address of the Person introducing the Customer

Special Instructions, if any

Date and Signature of Customer

Date and Signature of Joint A/C Holder

Date and Signature of Introducer

✓

Authorized Signature CDBL

Compliance Officer _____
COO

Authorized Signatory Accepting the Account
CEO/MD

Signature Card

Customer ID No. :

Date:

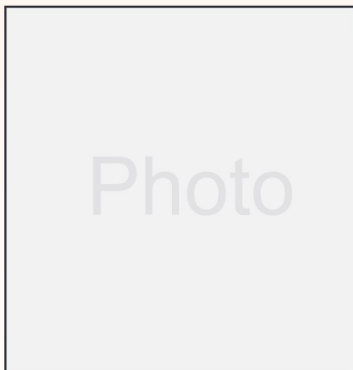
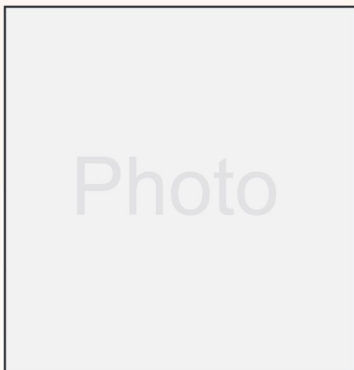
BO Account No. :

Name of Account Holder.....

Name of Joint Account.....

Name of Authorised Person.....

	Mode of operation of mandate	
Paticulars	Full Name (In Block Letters)	Signature
First Account Holder		
Second Account Holder		
3 rd Applicant (Limited Co. Only)		
Authorised Person		



Required Documents

List of Required Documents for Individual Account :

		Yes	NO
01	2 Passport Size Photograph (Applicant's name in block letter, signature).		
02	1 Passport Size Nominee's Photographs (Attested by the applicant). In case the nominee is minor, a document of guardian must be given by the applicant.		
03	Photocopy of National ID.		
04	If National ID Card is not available then, Photocopy of Passport/Driving License/Nationality Certificate with attested photo.		
05	Bank Certificate (Mandatory in Case Where National ID Card is not available)/ Bank Statement		
06	Photocopy of Employee ID Card/ (applicable only for service holder)		
07	Trade license/approval of operational head (if profession is business).		
08	1 photo of authorized person, if any (attested by the applicant) and also a copy of any national photo ID of the authorized person.		

List of Required Documents for Joint Account :

01	2 Passport Size Photographs of both applicants (Applicant's name in block letter, signature).		
02	1 Passport Size Nominee's Photograph, if any (attested by both applicants) In case the nominee is minor, a document of guardian must be given by the applicant.		
03	Photocopy of National ID of both applicants.		
04	If National ID Card is not available then, Photocopy of Passport/Driving License/Nationality Certificate with attested photo of both applicants.		
05	Bank Certificate (Mandatory In case where National ID Card is not available) / Bank Statement. (at least for the principal applicant).		
06	Photocopy of Employee ID Card/ (applicable only for service holder), (at least for the principal applicant).		
07	Trade license (if profession is business).		
08	1 photo of authorized person, if any (attested by both applicants) and also a copy of any national photo ID of the authorized person.		

List of Required Documents for Corporate Account (Primary Documents) :

01	2 Photographs of managing Director(s)/All of the partners/Members of the Governing Bodies with their names in block letters, signature.		
02	Bank Certificate / Bank Statement of the organization.		
03	Photocopy of Managing Directors /All of the partners / Membrs of the Governing Bodies' National ID card/valid Passport / Driving License / Nationality Certificate with attested photographs.		

List of Required Documents (Proprietorship)

01	Copy of valid Trade License.		
02	1 Photograph of Authorized Signatory (if any) attested by the principal applicant.		
03	If Nominee is mentioned, then nominee, signature and 1 photograph attested by the applicant.		

Required Documents (Cont.)

List of Required Documents (Partnership) :

		Yes	NO
01	1 Copy of valid Trade License.		
02	2 Partnership Deed.		
03	3 1 Photograph of Authorized Signatory (if any) attested by all the partners.		
04	4 Partner's letter of authority to open account and authorization for operation.		

List of Required Documents (Liability Company) :

01	Copy of valid Trade License.		
02	Copy of RJSC Certified Memorandum and Article of Association (MEMART) and please check the below documents in the Memorandum and Article of Association:		
	a. Copy of Certificate of Incorporation and Commencement of Business (for Public Ltd. Co.)		
	b. Latest copy of form XI		
	c. List of Directors along with addresses (or) A license from Bangladesh Bank (in case of financial institution).		
03	3 List of authorized signatories along with address.		
04	4 Copy of Board resolution to open the account and authorization for operation.		

List of Required Documents (Association/Trust/Society/Provident Fund) :

01	Trust deed (for trust account only).		
02	Copy of constitution / bylaws / rules.		
03	Certificate of registration.		
04	List of members of the governing bodies along with addresses.		
05	Copy of resolution of governing body to open the account and authorize for operation.		
06	List of authorized signatories along with addresses.		
07	Reorganization Letter from NBR (for provident fund only).		

**KYC Profile Form
(Applicable for Bo Account)**

(To be Completed by the Account Opening Office Relationship Manager)

BO ID :	Internal reference No./Code No.:
1. Account Name :	
2. Type of Account :	
3. Name of Account Opening Officer/Relationship Manager :	
4. What is the source of fund? How has the source of fund been verified (Where applicable)	

5. Information regarding Beneficial Owner of the account (In case of company, information regarding controlling shareholder (s) and the shareholder(s) holding 20% of more shares)

.....

.....

6. Passport No. : Whether Photocopy obtained? (Where Applicable)? Yes NO

7. National ID No. : Whether Photocopy obtained? (Where Applicable)? Yes NO

8. E.T.I.N No : Whether Photocopy obtained? (Where Applicable)? Yes NO

9. Vat Reg. No. : Whether Photocopy obtained? (Where Applicable)? Yes NO

10. Driving Licence No. : Whether Photocopy obtained? (Where Applicable)? Yes NO

11. What does Customer do..... Whether Photocopy obtained? (Where Applicable)? Yes NO

12. What does the customer do?

Mention the occupation of the client if details:

Comments (if any) :

(Comments may be made in this part regarding risk of the customer in consideration of subjective judgment)

	A/C Opening Officer/Relationship Manager	Verified By:	Approved By:
Signature of the client	Signature (With Seal)	Signature (With seal)	Signature (With seal)
	Name : Date :	Name : Date :	Name : Date :

SCHEDULE I

Portfolio Manager may change any provisions of this Schedule I from time to time :

1. Initial Fund : A minimum amount of tk (Ta.....) only.
2. Fees, interest and charges shall be payable to the Portfolio Manager in accordance with Article 4:

Sl.	Particulars	Fees/Charge
01	Documentation Charge	Tk. 1,000/-
02	Management Fee (to be Charged quarterly)	_____ % P.a. on asset value of portfolio (the asset value of portfolio shall be determined following the Valuation Policy as delineated in Schedule V)
03	Interest on margin loan (to be charged quarterly)	_____ % P.a.
04	settlement fee	_____ & on each transaction value
05	Termination Fee	Tk. 1000/-

3. Out of Pocket and any third Party expenses: Charges of central Depositories are to be levied according to the charging mechanism set by the Central Tasia Securities Limited. These expenses include (but are not limited to):-

- a. Central Depository Fees.
- b. SMS and other extra- Ordinary Costs

Signature of Principal Applicant

Name :

Date :

Signature of Joint Applicant

Name :

Date :

APPLICATION FOR CREDIT FACILITY

Date

D	D	M	M	Y	Y	Y	Y
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Chief Executive Officer

Tasia Securities Limited

36 Delta Dahlia Tower, 2nd Floor, Kamal Ataturk Avenue,
Banani, Road # 17, Block-C, Dhaka-1000, Bangladesh

Dear Sir,

I/We,

Client Code would like to avile the credit facility from your company for purchasing shares/securities

through your broker house. I furnish herewith the necessary information/ document for your kind perusal.

Your faithfully

Name :

Code :

Bo :

Recommended by :

Approved by :



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Kamal Ataturk Avenur Banani, Road # 17
Block-C, Dhaka-1000, Bangladesh
Phone: +88-02-4108 2407
E-mail: info@tasiasecurities.com
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